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# Process Parameter Optimization of 6061AA Friction Stir Welded Joints Using Supervised Machine Learning Regression-Based Algorithms

Eyob Messele Sefene<sup>1\*</sup>, Assefa Asmare Tsegaw<sup>2</sup>, Akshansh Mishra<sup>3</sup>

- 1. Graduated M.Sc., Faculty of Mechanical and Industrial Engineering, Bahir Dar Institute of Technology, Bahir Dar University, P.O. Box 26, Bahir Dar, Ethiopia
- 2. Assistant Professor, Faculty of Mechanical and Industrial Engineering, Bahir Dar Institute of Technology, Bahir Dar University, P.O. Box 26, Bahir Dar, Ethiopia
- 3. Graduate M.Sc., Department of Chemistry, Materials, and Chemical Engineering "Giulio Natta", Politecnico di Milano, Milan, Italy

Corresponding author: eyob.messele@bdu.edu.et



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#### **ABSTRACT**

In this contemporary technology epoch, material utilization is crucial concerning saving energy demand. One of the thinking points of the interest domain is weight reduction. The highest strength to weight ratio criterion of the welded joint has enthralled keenness in virtually all areas where heft reduction is indispensable. Lightweight materials and their joining processes are also a recent point of research demands in the manufacturing industries. Friction Stir Welding (FSW) is one of the recent advancements for joining materials without adding any third material (filler rod) and joining below the melting point of the parent material. The process is widely used for joining similar and dissimilar metals, especially lightweight non-ferrous materials like aluminum, copper, and magnesium alloys. This paper presents verdicts of optimum process parameters on attaining enhanced mechanical properties of the weld joint. The experiment was conducted on a 5 mm 6061 aluminum alloy sheet. Process parameters; tool material, rotational speed, traverse speed, and axial forces were utilized. Mechanical properties of the weld joint are examined employing a tensile test, and the maximum joint strength efficiency was reached 94.2%. Supervised Machine Learning based Regression algorithms such as Decision Trees, Random Forest, and Gradient Boosting algorithms were used. The results showed that the Random Forest algorithm yielded the highest coefficient of determination value of 0.926, giving the best fit compared to other algorithms. Furthermore, this method can be extended in large-scale and thick aluminum base materials.

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#### 1. Introduction

Before the invention of Friction Stir Welding, a mechanical fastener was the key element for joining aluminum and its alloy material in different aircraft structural parts. For instance, the Eclipse Aviation industry, to manufacture Eclipse 500 business class aircraft, approximately used 7,300 rivets per airframe (fuselage). After all this economic loss, Wayne Thomas and his friends at The Welding Institute (TWI) of Cambridge, UK, invented Friction Stir Welding in 1991[1,2]. FSW is categorized under the solid-state welding process and widely used to join non-ferrous materials such as aluminum, magnesium, and copper structures across many industries where a high strength to lightweight welds are required [3-7]. Compared to the conventional fusion welding process, it consumes lower energy, and no consumable materials such as electrodes and shielding gases are used. The application area of FSW is implemented in different industries, including aerospace, transportation, railway, shipbuilding, and other manufacturing industries due to its benefits such as a higher strength to weight ratio, corrosion resistance, and thermomechanical properties [8,9]. Nowadays, lightweight materials and their joining process are required in many manufacturing industries. Among these, magnesium alloy is a lightweight material, and its demand is rapidly increasing in the automotive and aerospace industry due to its low density and high specific strength. The material is approximately 30% lighter than aluminum and four times lighter than steel, with a density of 1.8 g/cm<sup>3</sup> [10,11]. In addition to this, FSW is a suitable joining process for magnesium alloy materials because the weld occurs below the melting point of the base metal. Both materials and joining techniques are compatible for imparting a sound weld; however, they need appropriate process parameters. The term parameter optimization means the challenge to make the "best" verdict within a definite set of possible alternatives. Historically, "best" has been defined differently in different fields. In manufacturing, where multiobjective thinking arguably originated, the "best" mechanical performance behavior referred to a specific application [12]. Optimization methods have become more versatile in this cutting-edge technology era, from simple to nature-inspired methods. Machine Learning (ML) is a significant aspect of modern research scenarios. It uses algorithms and neural network replicas to back computer systems and refine their performance. Machine Learning algorithms automatically build a mathematical model using sample data, also known as "training data," to make decisions without being specifically programmed to make those decisions. Machine Learning is, in part, based on a model of brain cell interaction. The model was created in 1949 by Donald Hebb in a book titled The Organization of Behaviour [13]. In the FSW process, past researchers are used to different optimization tools to predict significant parameters for 6061 al- alloy materials. Asmare et al. [14] have studied the effect of process parameters of aluminum-magnesium alloy (6061-T6) material using the FSW process. Parameters are controlled by using the Taguchi-based GRA method. The result revealed that a combination of higher rotational and lower traverse speed imparts a higher hardness and tensile strength to the weld joint. Moreover, past research indicates that FSW is a suitable welding process for getting a higher mechanical property in 6061-T6 material. Sadeesh et al. [15] have investigated the impact of welding speed on the microstructure and mechanical properties of dissimilar materials of AA2024 and AA6061 aluminum material using the FSW process. They obtained defect-free joints at a rotational speed of 710 rpm, welding speed of 28mm/min, and D/d ratio of 3. Moreover, according to the statistical analysis, the tool pin is the most influential parameter for attaining an excellent mechanical property. Maneiah et al. [16] are studied the mechanical properties of 6061-T6 AA using the FSW process. The parameters are optimized by Taguchi L9 orthogonal array method. The result showed that the highest tensile strength was recorded at a higher tool rotational speed of 1400 rpm, tilt angle 0o, and 100 mm/min welding speed. Recently, an Artificial Intelligence-based approach known as Machine Learning has been implemented in various manufacturing sectors, including the Friction Stir Welding Process. A supervised Machine Learning classification-based approach was used to predict the mechanical properties of Friction Stir Welded Copper joints [17]. Mishra [18] determined the fracture location of dissimilar Friction Stir Welded joints using a supervised machine learning classification-based approach.

This work aims to find optimum process parameters of FSW for enhancing the tensile strength of the target material using the Machine Learning approach. The results showed that the Support Vector Machine (SVM) algorithm resulted in the highest accuracy score of 0.889. Furthermore, machine Vision-based algorithms have also been used in the Friction Stir Welding Process to determine surface defects and microstructure geometrical features analysis in Friction Stir Welded joints [19,20].

## 2. Research significance

## 2.1. Materials and setup

The material used in this investigation has a 5 mm 6061 Al alloy rolled sheet with a butt joint configuration. The materials' chemical composition and mechanical properties are summarized in Table 1 and Table 2. Cylindrical, taper, and tri-flute threaded tools with the same shoulder and a pin diameter of 15 mm and 4.7 mm, respectively, were used see Fig. 1. The cylindrical tool has made from H13 tool steels, the tri-flute tool was made from C40 steel, and the tapered tool has made from HSS tool steel materials. Moreover, the tools' mechanical properties and chemical compositions are depicted in Table 3, Table 4, Table 5. Samples are fabricated illustrated in Fig. 1 using XHS7145 vertical CNC milling machining center as an FSW machine. Tensile test samples are prepared according to the ASTM-E8-04 standard demonstrated in Fig. 1. and measured triple times using Bairoe computer-controlled electro-hydraulic universal testing machine and took an average result. Fig. 1 shows the dog shape samples before and after the tensile test.

**Table 1** AA6061 Chemical Composition.

	Material	Mg	Si	Fe	Cr	Cu	AL
	AA 6061	0.92%	0.6%	0.33%	0.18%	0.25%	97.72%

**Table 2** AA6061 Mechanical Properties [21].

Material	Yield strength, (MPa)	Tensile strength, (MPa)	Hardness, (HR)
AA 6061	276	310	40

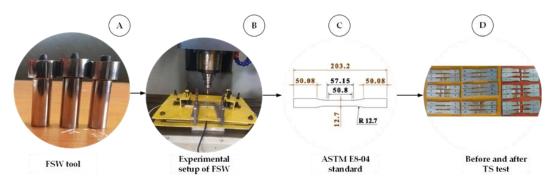


Fig. 1. Overall experimental setup of FSW process.

## 2.2. Implementation of machine learning algorithms

The process of implementing the machine learning algorithms is shown in Fig. 2. Firstly, the necessary Python libraries such as pandas, NumPy, seaborn, matplotlib, and seaborn are imported to the working environment. The dataset was imported to the Jupyter notebook environment in the second step and checked for missing values. Thirdly, exploratory data analysis is carried out to analyze the dataset to extract the main characteristics features using a graphical statistical approach as shown in Fig 3.

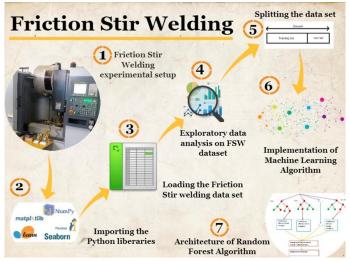


Fig. 2. Schematic Representation of the implementation of Machine Learning algorithms.

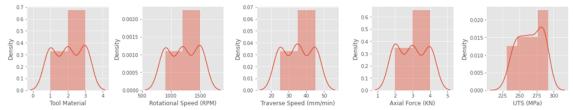


Fig. 3. Exploratory data analysis on the given dataset.

Fourthly, feature importance for each input feature is calculated as shown in Fig. 4. It is observed that the rotational speed has the highest influence on the nature of ultimate tensile strength (UTS), followed by traverse speed and axial force. It is also observed that the tool material does not influence the nature of UTS.

**Table 3** Process parameters and their levels.

Exp. Nº	Tool material [Type]	Rotational speed [RPM]	Welding speed [mm/min]	Axial force [KN]	UTS [MPa]
1	H13	900	25	2	251
2	H13	900	25	2	254
3	H13	900	25	2	257
4	H13	1200	35	3	264
5	H13	1200	35	3	260
6	H13	1200	35	3	268
7	H13	1500	45	4	284
8	H13	1500	45	4	284
9	H13	1500	45	4	281
10	H13	900	35	4	242
11	H13	900	35	4	244
12	H13	900	35	4	241
13	H13	1200	45	2	264
14	H13	1200	45	2	264
15	H13	1200	45 25	3	260
16	H13	1500			288
17	H13	1500	25	3	288
18	C40	1500	25	3	286
19	C40	900	45	3	238
20	C40	900	45	3	231
21	C40	900	45	3	236
22	C40	1200	25	4	271
23	C40	1200	25	4	268
24	C40	1200	25	4	273
25	C40	1500	35	2	281
26	C40	1500	35	2	278
27	C40	1500	35	2	280
28	C40	900	25	2	248
29	C40	900	25	2	248
30	C40	900	25	2	245
31	C40	1200	35	3	258
32	C40	1200	35	3	257
33	C40	1200	35	3	254
34	C40	1500	45	4	281
35	HSS	1500	45	4	286
36	HSS	1500	45	4	285
37	HSS	900	35	4	248
38	HSS	900	35	4	246
39	HSS	900	35	4	247
40	HSS	1200	45	2	266
41	HSS	1200	45	2	264
42	HSS	1200	45	2	269
43	HSS	1500	25	3	291
44	HSS	1500	25	3	292
45	HSS	1500	25	3	291
46	HSS	900	45	3	239
47	HSS	900	45	3	242
48	HSS	1200	25	4	276
					276
49	HSS	1200	25	4	
50	HSS	1500	35	2	286
51 52	HSS HSS	1500 1500	35 35	2 2	285 285

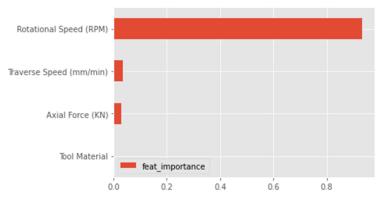


Fig. 4. Plot of feature importance.

In the fifth step, splitting the dataset is done in an 80-20 ratio, i.e., 80 percent of the data is used for training purposes, and 20 percent of the data is used for testing purposes. The 80-20 ratio splitting rule is based on the Pareto Principle, which states that 80% of effects come from 20% of causes. In the last step, the value of the metrics features such as Mean Square Error, Mean Absolute Error, and coefficient of determination (R<sup>2</sup>) are calculated for measuring the performance of the individual Supervised Machine Learning algorithms.

#### 3. Results and discussion

## 3.1. Decision tree algorithm

Decision Tree is a greed-based non-parametric machine learning algorithm used to predict the target variable, i.e., UTS (MPa), utilizing some decision rules in the present study. The Decision Tree algorithm builds the model in the tree architecture by splitting the dataset into various subsets, resulting in its final form in decision nodes and leaf nodes, as seen in Fig. 5.

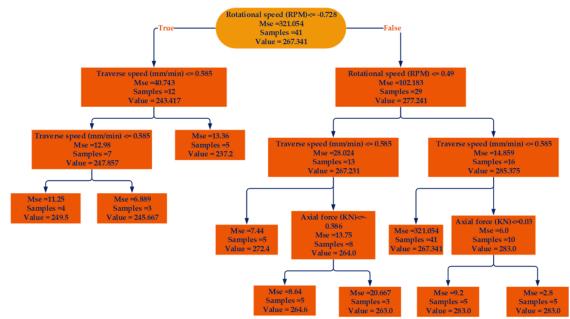


Fig. 5. Decision Tree architecture in the present work.

Decision Tree architecture is formed by the recursive partitioning methodology, which starts from the first parent, i.e., the root node splits into right and left child nodes, and they further split themselves as a parent node resulting in other child nodes. Based on the largest Information Gain (IG), the dataset is divided into features starting from the root node, and thus this process is repeated iteratively. The main objective is to maximize the Information Gain (IG), as shown in Equation 1, splitting the nodes at most informative features.

$$IG(,f) = I(D_p) - \left(\frac{N_{Left}}{N_p}I(D_{Left}) + \frac{N_{Right}}{N_p}I(D_{Right})\right)$$
(1)

Where  $D_p$ ,  $D_{Right}$  are the dataset of the child and parent nodes,  $N_{Right}$ ,  $N_{Left}$  are several samples in child nodes,  $N_p$  is the total number of samples at the parent node, and I is the impurity measure. Table 4 shows the performance of the Decision Tree algorithm evaluated by the measurement of the metric features.

**Table 4**Metric features an evaluation of Decision Tree Algorithm.

Mean Square Error	Mean Absolute Error	R <sup>2</sup> Value	
19.684	3.569	0.894	

## 3.2. Gradient boosting algorithm

Gradient Boosting algorithm is an ensemble model that combines the weal learners or weak predictive models to predict the continuous value further. This approach can be used for both classification and regression purposes. This work is used as a regression algorithm for predicting the UTS (MPa). The multiple decision trees of a constant size as weak predictive models are summed up to build an additive model. The decision tree-based estimators are fitted to predict the negative gradients of samples on the dataset. In gradient boosting algorithm, M stages are considered, and some imperfect model  $F_m$  is present at each stage of  $m(1 \le m \le M)$  of gradient boosting. So, a new estimator  $h_m(x)$  is added to the algorithm for improving the value of  $F_m$  which is shown in Equation 2.

$$F_{m+1}(x) = F_m(x) + h_m(x) \tag{2}$$

**Table 5** shows the performance evaluation of the Gradient Boosting Algorithm.

**Table 5**Metric features an evaluation of Gradient Boosting Algorithm.

Mean Square Error	Mean Absolute Error	R <sup>2</sup> Value
19.939	3.591	0.893

It is observed that the MSE and MAE obtained from Gradient Boosting Algorithm is higher than those of the Decision Tree Algorithm, while the  $R^2$  value is slightly lower than the Decision Tree Algorithm.

## 3.3. Random forest algorithm

Random Forest algorithm is a supervised machine learning algorithm constituted from decision trees and can solve regression and classification-based problems. The solution to the complex problem is met by ensemble learning in which various classifiers are combined. The predicted output is generated by the outcome resulting from the decision trees by taking the mean or average output yielded from the individual decision trees, as shown in Fig. 6. It is observed from Fig. 6 that the random forest algorithm is composed of various ensemble models represented by green and red dots, which further represents different decision trees model. Table 6 shows the performance metrics evaluation of the Random Forest Algorithm.

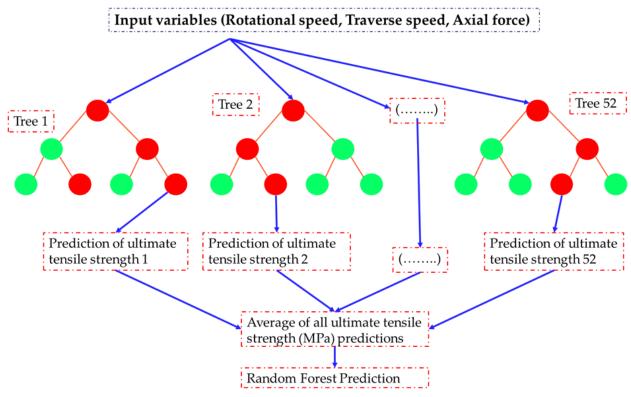


Fig. 6. Architecture of Random Forest algorithm in present work.

**Table 6**Metric features an evaluation of Random Forest Algorithm.

Mean Square Error	Mean Absolute Error	R <sup>2</sup> Value
19.079	3.717	0.926

It is observed that the Random forest results in the best fit by yielding the highest  $R^2$  value of 0.926 in comparison to the other two algorithms. It can be concluded that the Random Forest algorithm yields the highest level of accuracy in comparison to the decision trees for predicting the output, i.e., UTS. It is observed that each implemented ML classification model has 100 % accuracy for the classification of the welding efficiency of friction stir welded joints.

### 4. Conclusion

This paper conducted the test at ambient temperature for similar materials of Friction Stir welded. The maximum tensile strength was 292 MPa obtained from a tapered pin profile of the HSS tool at a rotational speed of 1500 rpm with a welding speed of 25 mm/min and axial force of 3KN. Correspondingly, the minimum tensile strength value of 231 MPa was observed from a tri-flute threaded profile of the C40 tool at a rotational speed of 900 rpm with a traverse speed of 45 mm/min axial force of 3KN. The joint efficiency was reached 94.2 % of the base metal. The result shows that the tensile strength increases, the traverse speed of the tool decreases, and the rotational speed of the tool increases because the lower welding speed and higher tool rotational speed can impart sufficient heat for welding the parent metal.

In the present work, the experiment has optimized through supervised machine learning regression-based algorithms such as Decision Trees, Gradient Boosting Algorithm, and Random Forest Algorithm are developed and executed using Python programming to find higher mechanical properties of AA6061 joints fabricated through the friction stir welding process. The obtained results can be summarized as follows:

- The highest tensile strength (UTS) of 292 MPa was obtained at a parameter setting of the rotational speed of 1500 rpm, welding speed of 25 mm/min, the axial force of 3 KN, with a taper threaded tool pin.
- In the dataset, there were four input features: tool material, rotational speed, traverse speed, and axial force. However, the feature importance results showed that the tool material does not affect the output feature, i.e., UTS of the FS welded joints, which led to the dropping of the tool material input feature.
- The obtained results showed that the Random Forest algorithm resulted in the highest coefficient of determination of 0.926 compared to the other two algorithms, which means the predicted results are very close to the experimental results.
- The future scope of this work is to implement Quantum Machine Learning based algorithms and further compare the results obtained from the conventional Machine Learning Algorithms.

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### **Conflicts of interest**

The authors declare that they have no competing personal and financial interests.

#### **Authors contribution statement**

EMS, AAT: Conceptualization; EMS: Data curation; EMS, AM: Formal analysis; EMS, AAT: Investigation; EMS, AAT, AM: Methodology; EMS: Project administration; EMS, AM: Software; AAT: Supervision; EMS, AAT, AM: Validation; EMS, AAT AM: Visualization; EMS, AAT, AM: Roles/Writing – original draft; EMS, AAT, AM: Writing – review & editing.

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